



RCE/11/200
11/2/02
12/8

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|--|-------------------------------|-------------------------|
| REQUEST For CONTINUED EXAMINATION (RCE) TRANSMITTAL <small>Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA)</small> | Application Number | 09/553,107 |
| | Filing Date | April 20, 2000 |
| | First Named Inventor | Edward S. Ellis, et al. |
| | Group Art Number | 1764 |
| | Examiner Name | Jerry D. Johnson |
| | Attorney Docket Number | GJH-0018 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on 09/25/02
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other



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2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

3. Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 05-1330
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other
- b. ☐ Check in the amount of \$_____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|------------------|-----------------------------------|--------------|
| Name (Print/Type) | Gerard J. Hughes | Registration No. (Attorney/Agent) | 41,855 |
| Signature | | Date | 25 Oct. 2002 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

| | | | |
|-------------------|---------------|------|----------|
| Name (Print/Type) | Judy A. Gould | Date | 10/25/02 |
| Signature | | | |

10/31/2002 00000155 051330 0553107

01 FC:1801 740.00 CH

FEE TRANSMITTAL for FY 2002

Total Amount of Payment **\$ 740.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **05-1330**

Deposit Account Name: **ExxonMobil Research and Engineering Company**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Fee \$ | Fee Description | Fee Paid |
|-----------------------|--------|------------------------|----------|
| 101 | 740 | Utility filing fee | |
| 106 | 330 | Design filing fee | |
| 107 | 510 | Plant filing fee | |
| 108 | 740 | Reissue filing fee | |
| 114 | 160 | Provisional filing fee | |

SUBTOTAL (1)

2. CLAIMS

Total Claims - 20 = x **18.00** =

Independent Claims - 3 = x **84.00** =

Multiple Dependent Claims 0 x **280.00** =

Large Entity

| Fee Code | Fee \$ | Fee Description |
|----------|--------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 84 | Independent claims in excess of 3 |
| 104 | 280 | Multiple dependent claim |
| 109 | 84 | Reissue independent claims over original patent |
| 110 | 18 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)



PATENT TRADEMARK OFFICE

Complete Known

Application Number **09/553,107**
 Filing Date **April 20, 2000**
 Inventors **Edward S. Ellis, et al.**
 Group Art Unit **1764**
 Examiner Name **Jerry D. Johnson**
 Attorney Docket Number **GJH-0018**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee \$ | Fee Description |
|----------|---------------------|--|
| 105 | 130 | Surcharge - late filing fee or oath |
| 127 | 50 | Surcharge - late provisional filing or cover sheet |
| 139 | 130 | Non-English specification |
| 147 | 2,520 | For filing a request for reexamination |
| 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | Requesting publication of SIR after Examiner action |
| 115 | 110 | Extension for reply within first month |
| 116 | 400 | Extension for reply within second month |
| 117 | 920 | Extension for reply within third month |
| 118 | 1,440 | Extension for reply within fourth month |
| 128 | 1,960 | Extension for reply within fifth month |
| 119 | 320 | Notice of Appeal |
| 120 | 320 | Filing a brief in support of an appeal |
| 138 | 1,510 | Petition to institute a public use proceeding |
| 140 | 110 | Petition to revive - unavoidable |
| 141 | 1,280 | Petition to revive - unintentional |
| 142 | 1,280 | Utility issue fee (or reissue) |
| 122 | 130 | Petition to the Commissioner |
| 123 | 50 | Petitions related to provisional applications |
| 126 | 180 | Submission of information Disclosure Stmt. |
| 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 740 | Filing a submission after final rejection (37 CFR 1.129(a)) |
| 149 | 740 | For each additional invention to be examined (37 CFR 1.129(b)) |

179 **740** Request for Continued Examination (RCE)
 Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

740.00

740.00

Typed or Printed Name **Gerard J. Hughes**

Reg. No. **41,855**

Signature

Date

25 Oct 2002

Deposit Account User ID